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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/748,094

12/31/2003

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2912960-001000

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11/05/2009

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EXAMINER

KISHORE, GOLLAMUDI S

ART UNIT

PAPER NUMBER

1612

MAIL DATE

DELIVERY MODE

11/05/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

DETAILED ACTION

The amendment dated 7-2-09 is acknowledged.

Claims included in the prosecution are 1-8, 10, 12, 14-22 and 63-69.

Claim Rejections - 35 USC § 112

1. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

2. Claims 1-8, 10, 12, 14-22 and 63-69 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Applicant amends claim 1 to introduce the limitation, "removing ammonium sulphate from extraliposomal hydration medium by dialysis, ultra filtration or column chromatography using a sucrose-histidine buffer solution". There is no support for the limitation, "removing ammonium sulfate from extraliposomal hydration medium by ultra filtration or column chromatography using a sucrose-histidine buffer solution. On page 11 of the specification applicant states, "other suitable means to remove the extraliposomal salt includes ultra filtration or column chromatography". There is no mentioning of sucrose-histidine buffer for either ultrafiltration process or column chromatography. The examples indicate the use of dialysis. Therefore, the added limitation is deemed to be new matter.

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claim 69 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

While it is understandable that one can remove ammonium sulfate from a liposomal solution (present in the external medium) and replace it with sucrose-histidine solution by either dialysis or column chromatography, it is unclear how one can replace it with sucrose-histidine solution in an ultra-filtration process.

It is unclear as to what applicant intends to convey by “at least 25 times longer than conventional **non-liposomal** formulations when tested in Swiss albino mice at equivalent doses. When a liposomal formulation is tested with a **non-liposomal formulation**, it is natural for the liposome formulation to have a longer circulation times. Furthermore, what is being tested? Phospholipid, cholesterol mixture?

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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6. Claims 1-8, 10, 12, 14-22 and 63-69 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kirpotin (6,110,491) in view of Wong (US 2005/0025822), Mammarella (US 2006/0078605) individually or in combination further in combination with Papahadjopoulos (4,235,871).

Kirpotin discloses a method of preparation of liposomes by forming a lipid film and hydrating it with a buffer containing ammonium sulfate (Example 7). Kirpotin also teaches that if necessary, to achieve an osmolarity of 377 mmole/kg, sucrose could be added to the medium (Example 8). The liposomes contain hydrogenated egg phospholipid and cholesterol. Doxorubicin is loaded into the preformed liposomes (Example 7). Although in the examples Kirpotin uses PEG-phospholipids, on col. 9, lines 22-33 teaches either the naturally occurring or synthetic phospholipids which implies that the use of PEG-phospholipids for the method of preparation of liposomes is not necessary. What is lacking in Kirpotin is the teaching of the amount of aqueous medium added to per mol phospholipid. However, since the final product in Kirpotin is a liposome just as in instant case and since complete hydration of the phospholipid is required for the formation of the liposomes, in the absence of showing unexpected results, it is deemed obvious to one of ordinary skill in the art to vary the amounts of the hydrating medium to obtain the best possible results. Kirpotin lacks the teaching of the teachings of the removal of ammonium sulfate from the external medium using a sucrose-histidine buffer solution. As pointed out above, Kirpotin's method involves removal of the organic solvent before the hydration and not after. Instant claims recite two alternatives.

Wong while disclosing a method of making liposomal formulations teaches the removal external ammonium sulfate using sucrose in a buffer and the final liposomal preparation has 10 mM histidine and 10 % sucrose buffer (0063).

Mammarella while disclosing a method of making liposomal formulations teaches the removal external ammonium sulfate using sucrose in a buffer and the final liposomal preparation has 0.15 % histidine and 10 % sucrose buffer (0045-0048, 0066 and 0067).

Papahadjopoulos discloses methods of formation of liposomes. The methods involve either removal of the organic solvent before hydration (Example 1) or making an emulsion using an organic solvent containing phospholipid and an aqueous medium and evaporating the organic solvent (Example 2). In either method, the amount of the lipid is 100 micromoles and the aqueous medium added is 1.5 ml which corresponds to 15 ml of aqueous medium per millimole of the phospholipid and the hydration medium contains histidine.

It would have been obvious to one of ordinary skill in the art to remove the extraliposomal salt using sucrose-histidine buffer instead of sucrose-butter taught by Forssen with a reasonable expectation of success since Wong and Mammarella teach that the final preparations of liposomes could be in sucrose-histidine buffer. Although neither Kirpotin and Wong teach the exact amount of the hydrating medium, However, since complete hydration of the phospholipid is required for the formation of the liposomes, in the absence of showing unexpected results, it is deemed obvious to one of ordinary skill in the art to vary the amounts of the hydrating medium to obtain the best possible results. Making an emulsion of the phospholipid containing organic solvent and

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an aqueous medium in the ratios of 1 millimole of lipid/15ml of aqueous medium and removing the organic solvent to form liposomes would have been obvious to one of ordinary skill in the art since Papahadjopoulos teaches that liposomes can be produced by either process.

Applicant's arguments have been fully considered, but are not deemed to be moot in view of the new rejection. However, the examiner would address applicant's arguments pertaining to Kirpotin. Applicant's arguments based on KSR decision are not persuasive since the examiner has provided sufficient reason and motivation to combine and the reasonable expectation of success.

Applicant argues that Kirpotin is concerned with liposome loading and does not teach about a process for making long-circulating non-PEGylated liposome. This argument is not persuasive since instant claims are method claims and not method of increasing the circulation time of the liposomes. Prior art teaches similar method. Applicant points out to col. 14, lines 40-42 and argues that Kirpotin teaches away from using ammonium ions. At this location Kirpotin states that doxorubicin at this temperature does not form a precipitate. How can this be considered as teaching away. Applicant argues that Example 7 and 8 thus show that the use of ammonium sulfate in the hydrating medium in a PEGylated liposome is not useful for entrapping drug in the desired amounts and that the office action has not provided an explanation as to how this in any way teaches, suggests or motivates one skilled in the art to use ammonium sulfate in the hydrating medium by hydrating non-PEGylated phospholipids. Applicant further argues that Kirpotin does not teach instantly claimed phospholipids. These

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arguments are not persuasive. First of all, instant claims do not recite any specific drug amounts. As pointed out before, although in the examples Kirpotin uses PEG-phospholipids, on col. 9, lines 22-33 teaches either the naturally occurring or synthetic phospholipids which implies that the use of PEG-phospholipids for the method of preparation of liposomes is not necessary. The term, synthetic implies even claimed phospholipids. Applicant further argues based on the declaration by MR. Annappa that instant invention provides unexpected results compared to the PEGylated liposomal preparation (CAELYX) marketed currently. These arguments are not persuasive since the proper comparison to show unexpected results would be the comparison with Kirpotin and not with the commercially available PEGylated product since this product was not used in the rejection. Instant claims recite a process of preparation of liposomes containing phospholipids and sterol, which are not PEGylated and Kirpotin, teaches the preparation of non-PEGylated liposomes. Furthermore, instant claims are drawn to a process of preparation and the product formed and not drawn to method of increasing the circulation time of the liposomes. The examiner thus, has not merely dismissed the declarations.

7. Claims 1-8, 10, 12, 14-22 and 63-69 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hong (Clinical Cancer Research, 1999) of record in view of Wong (US 2005/0025822), Mammarella (US 2006/0078605) individually or in combination Papahadjopoulos (4,235,871) and Janoff (4,880,635).

Hong teaches a method of preparation of doxorubicin loaded liposomes. The method involves hydration of the lipids using ammonium sulfate solution (abstract and Materials

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and Methods). What are lacking in Hong are the use of sucrose in the hydration buffer and the removal of external ammonium sulfate. It is unclear from Hong as to how much hydration buffer is added. Hong's method involves removal of the organic solvent before the hydration and not after. Instant claims recite two alternatives.

Wong while disclosing a method of making liposomal formulations teaches the removal external ammonium sulfate using sucrose in a buffer and the final liposomal preparation has 10 mM histidine and 10 % sucrose buffer (0063).

Mammarella while disclosing a method of making liposomal formulations teaches the removal external ammonium sulfate using sucrose in a buffer and the final liposomal preparation has 0.15 % histidine and 10 % sucrose buffer (0045-0048, 0066 and 0067).

Papahadjopoulos discloses methods of formation of liposomes. The methods involve either removal of the organic solvent before hydration (Example 1) or making an emulsion using an organic solvent containing phospholipid and an aqueous medium and evaporating the organic solvent (Example 2). In either method, the amount of the lipid is 100 micromoles and the aqueous medium added is 1.5 ml which corresponds to 15 ml of aqueous medium per millimole of the phospholipid.

Janoff teaches that sugars such as sucrose when present both inside and outside would enable the liposomes to retain Adriamycin during dehydration and rehydration (Example 1; col. 21, line 23 through col. 21, line 27). Janoff further teaches the hydration of the 80 micromoles of lipid with 2 ml of buffer (25 ml per mmole).

It would have been obvious to one of ordinary skill in the art to remove the extra liposomal salt using sucrose-histidine buffer instead of sucrose-butter taught by Forssen

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with a reasonable expectation of success since Wong and Mammarella teach that the final preparations of liposomes could be in sucrose-histidine buffer. Although neither Kirpotin and Wong teach the exact amount of the hydrating medium, However, since complete hydration of the phospholipid is required for the formation of the liposomes, in the absence of showing unexpected results, it is deemed obvious to one of ordinary skill in the art to vary the amounts of the hydrating medium to obtain the best possible results.

Making an emulsion of the phospholipid containing organic solvent and an aqueous medium in the ratios of 1 millimole of lipid/15ml of aqueous medium and removing the organic solvent to form liposomes would have been obvious to one of ordinary skill in the art since Papahadjopoulos teaches that liposomes can be produced by either process. To include sucrose in the hydration medium of Forssen would have been obvious to one of ordinary skill in the art since such a procedure would enable the presence of sucrose within the liposomes as well as outside and since Janoff teaches that the liposomes retain the active agent during dehydration and rehydration procedures.

Although applicant's arguments are deemed to be moot, the examiner would address applicant's arguments with regard to Hong and Janoff. Applicant argues that Hong is directed to the preparation of both PEGylated liposomes. This argument is not persuasive since Hong teaches the preparation of both liposomal composition with and without PEG as the title in Hong itself states (see also Materials and Methods and Figures 1-4). Instant claims are method of preparation and product claims. The

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motivation to add ammonium sulfate and sucrose need not be the same as applicants.

Applicant's arguments regarding Janoff are not persuasive since Janoff is added to show that the addition of claimed amounts of hydrating medium is known in the art.

Furthermore, Janoff clearly teaches the dehydration of liposomes using protective sugar trehalose. This implies the protection of the sugar, sucrose (col. 5, line 60) during dehydration process of the liposomes (see also col. 7, lines 47-61).

8. Claims 1-8, 10, 12, 14-22 and 63-69 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hong (Clinical Cancer Research, 1999) of record in view of Wong (US 2005/0025822), Mammarella (US 2006/0078605) individually or in combination Papahadjopoulos (4,235,871) and Janoff (4,880,635) and either Radhakrishnan (5,192,528) or Uchiyama cited above.

The teachings of Hong, Wong, Mammarella, Papahadjopoulos and Janoff have been discussed above.

Radhakrishnan while disclosing corticosteroid containing liposomes teaches that the aqueous medium is added to a final lipid concentration of between about 10 to 100 micromole/ml which translates to 100 to 10 ml per mmole phospholipid (abstract and col. 5, lines 15-29).

Uchiyama while disclosing a method of preparation of liposomes containing EPC, HEPC, DCP and cholesterol teaches the hydration of 200 micromoles of lipids using 5 ml of aqueous medium, which translates to 1 mmole lipid and 25 ml of aqueous medium (Materials and methods, liposome preparation).

One of ordinary skill in the art would be motivated to use claimed amounts for the hydration medium since the references of Radhakrishnan and Uchiyama show the routine use of claimed amounts for hydrating the phospholipids to form liposomes.

9. Claims 1-8, 10, 12, 14-22 and 63-69 are rejected under 35 U.S.C. 103(a) as being unpatentable over Forssen (5,714,163) in combination with Wong (US 2005/0025822), Mammarella (US 2006/0078605) individually or in combination.

Instant claims recite two alternatives: the organic solvent is removed before or after the hydration. That means the hydration is performed on a dried lipid film or in a solution of the lipids in the organic solvent.

Forssen discloses a method of preparation of liposomes wherein the spray dried lipid mixture containing DSPC and cholesterol is hydrated with ammonium sulfate. Since it is a lipid mixture dissolving the lipids in an organic solvent for spray-drying is implicit (Example 1). Although Forssen teaches the use of 300 mM sucrose for hydration medium, he does not teach the use of hydration buffer containing both ammonium sulfate and sucrose. The liposomes are then subjected to buffer change using 300 mM sucrose.

What is lacking in Forssen is the use of sucrose-histidine buffer solution to remove the ammonium salt such that the final preparation has sucrose-histidine buffer.

Wong while disclosing a method of making liposomal formulations teaches the removal external ammonium sulfate using sucrose in a buffer and the final liposomal preparation has 10 mM histidine and 10 % sucrose buffer (0063).

Mammarella while disclosing a method of making liposomal formulations teaches the removal external ammonium sulfate using sucrose in a buffer and the final liposomal preparation has 0.15 % histidine and 10 % sucrose buffer (0045-0048, 0066 and 0067).

It would have been obvious to one of ordinary skill in the art to remove the extraliposomal salt using sucrose-histidine buffer instead of sucrose-butter taught by Forssen with a reasonable expectation of success since Wong and Mammarella teach that the final preparations of liposomes could be in sucrose-histidine buffer. Although neither Forssen and Wong teach the exact amount of the hydrating medium, However, since complete hydration of the phospholipid is required for the formation of the liposomes, in the absence of showing unexpected results, it is deemed obvious to one of ordinary skill in the art to vary the amounts of the hydrating medium to obtain the best possible results.

10. Claims 1-8, 10, 12, 14-22, 63-69 are rejected under 35 U.S.C. 103(a) as being unpatentable over Forssen (5,714,163) in combination with Wong (US 2005/0025822), Mammarella (US 2006/0078605) individually or in combination as set forth above, further in view of and either Radhakrishnan (5,192,528) or Uchiyama (International Journal of Pharmaceutics, 1995) or Papahadjopoulos (4,235,871) or Janoff (4,880,635)

The teachings of Forssen, Wong, and Mammarella have been discussed above. These references do not specifically disclose the claimed hydrating amounts of the aqueous medium.

Radhakrishnan while disclosing corticosteroid containing liposomes teaches that the aqueous medium is added to a final lipid concentration of between about 10 to 100

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micromole/ml which translates to 100 to 10 ml per mmole phospholipid (abstract and col. 5, lines 15-29).

Uchiyama while disclosing a method of preparation of liposomes containing EPC, HEPC, DCP and cholesterol teaches the hydration of 200 micromoles of lipids using 5 ml of aqueous medium, which translates to 1 mmole lipid and 25 ml of aqueous medium (Materials and methods, liposome preparation).

Papahadjopoulos discloses methods of formation of liposomes. The methods involve either removal of the organic solvent before hydration (Example 1) or making an emulsion using an organic solvent containing phospholipid and an aqueous medium and evaporating the organic solvent (Example 2). In either method, the amount of the lipid is 100 micromoles and the aqueous medium added is 1.5 ml which corresponds to 15 ml of aqueous medium per millimole of the phospholipid.

Janoff teaches that sugars such as sucrose when present both inside and outside would enable the liposomes to retain Adriamycin during dehydration and rehydration (col. 21, line 23 through col. 21, line 27). Janoff further teaches the hydration of the 80 micromoles of lipid with 2 ml of buffer (25 ml per mmole).

One of ordinary skill in the art would be motivated to use claimed amounts for the hydration medium since the references of Radhakrishnan, Uchiyama, Papahadjopoulos, Janoff show the routine use of claimed amounts for hydrating the phospholipids to form liposomes. .

11. Claims 1-8, 10, 12, 14-22, 63-69 are rejected under 35 U.S.C. 103(a) as being unpatentable over Forssen (5,714,163) in combination with Wong (US 2005/0025822),

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Mammarella (US 2006/0078605) individually or in combination as set forth above, further in view of and either Radhakrishnan (5,192,528) or Uchiyama (International Journal of Pharmaceutics, 1995) as set forth above, further in view of Kirpotin (6,110,491).

The teachings of Forssen, Wong, and Mammarella have been discussed above. These references do not teach the use of sucrose and ammonium sulfate together in the hydrating medium.

Kirpotin discloses a method of preparation of liposomes by forming a lipid film and hydrating it with a buffer containing ammonium sulfate (Example 7). Kirpotin also teaches that if necessary, to achieve an osmolarity of 377 mmole/kg, sucrose could be added to the medium (Example 8). The liposomes contain hydrogenated egg phospholipid and cholesterol. Doxorubicin is loaded into the preformed liposomes (Example 7).

The use of sucrose and the ammonium sulfate and sucrose together in the hydrating medium in the teachings of Forssen, Wong, and Mammarella with a reasonable expectation of success since Kirpotin teaches the use of sucrose along with ammonium sulfate to achieve an osmolarity of 377 mmole/kg.

Applicant's arguments have been fully considered, but are not persuasive. Applicant argues that Mammarella and Wong are not proper prior art references as they were published after the priority date of the instant application. This argument is not persuasive since the filing date of instant application is 12-31-03 and the applicable priority dates of Mammarella and Wong are 8-28-2003 and 5-30-03 respectively.

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Applicant's arguments that neither Kirpotin, Forssen, Janoff, Papahadjopoulos, Hong, Radhakrishnan, Uchiyama, Emmanuel, Mammarella or Wong teach or suggest removing extra-liposomal hydration media using a sucrose-histidine buffer solution as required by the claims. This argument is not persuasive since both Wong and Mammarella teach the removal of extra-liposomal media using a sucrose-histidine buffer solution as pointed out in the rejection.

12. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to GOLLAMUDI S. KISHORE whose telephone number is (571)272-0598. The examiner can normally be reached on 6:30 AM- 4 PM, alternate Friday off.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Krass Frederick can be reached on (571) 272-0580. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Gollamudi S Kishore/
Primary Examiner, Art Unit 1612

GSK